

Amendments to the Claims

Please cancel claim 80. Please amend claims 81-85. Please add new claims 86 and 87. The Listing of Claims below will replace all prior versions of the claims in the application.

Listing of Claims

1-80. (Cancelled)

81. (Currently amended) The system of claim [[80]] 86, wherein ~~the~~ assistance information is provided to the plurality of client terminals through a trade wizard helper program.

82. (Currently amended) The system of claim [[80]] 86, wherein ~~the~~ predetermined, customizable one or more business rules are designed to limit ~~the client's~~ a risk in trading financial instruments and can be applied in real time.

83. (Currently amended) The system of claim [[80]] 86, wherein ~~the~~ a user of the one or more financial advisor ~~computer~~terminals can make corrections to ~~information that the client transmits from the client computer to the brokerage interface~~each electronic trade order.

84. (Currently amended) The system of claim 83, wherein the user of the one or more financial advisor ~~computer~~terminals can make the corrections in real-time.

85. (Currently amended) The system of claim [[80]] 86, wherein the ~~second~~ alert message includes reasons why the ~~trade was blocked~~non-validated trade order was not validated.

86. (New) A financial server system for trading comprising:

- a plurality of client terminals;
- one or more financial advisor terminals; and
- a server configured to:
 - receive a plurality of electronic trade orders from the plurality of client terminals over a communication network, each electronic trade order comprising a request to trade a financial instrument;
 - for each electronic trade order, transmit an alert message in real-time over the communication network to the one or more financial advisor terminals, each alert message comprising information obtained from the electronic trade order;
 - validate the information obtained from each of the plurality of electronic trade orders according to one or more business rules;
 - transmit results from validation of the plurality of electronic trade orders to the plurality of client terminals, the results indicating whether an electronic trade order is validated or not validated;
 - further transmit to those client terminals associated with a validated electronic trade order a request for confirmation of the validated electronic trade order and executing the validated electronic trade order upon receipt of the requested confirmation; and
 - for those client terminals associated with a non-validated trade order, further transmit an alert message in real-time to the one or more financial advisor terminals including information associated with the non-validated trade order.

87. (New) A computerized method for trading in a financial server system comprising:

- receiving a plurality of electronic trade orders from a plurality of client terminals over a communication network, each electronic trade order comprising a request to trade a financial instrument;
- for each electronic trade order, transmitting an alert message in real-time over the communication network to one or more financial advisor terminals, each alert message comprising information obtained from the electronic trade order;

validating the information obtained from each of the plurality of electronic trade orders according to one or more business rules;

transmitting results from validation of the plurality of electronic trade orders to the plurality of client terminals, the results indicating whether an electronic trade order is validated or not validated;

further transmitting to those client terminals associated with a validated electronic trade order a request for confirmation of the validated electronic trade order and executing the validated electronic trade order upon receipt of the requested confirmation; and

for those client terminals associated with a non-validated trade order, further transmitting an alert message in real-time to the one or more financial advisor terminals including information associated with the non-validated trade order.